Prediction of radionuclide diffusion enabled by missing data imputation and ensemble machine learning*

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Missing values in radionuclide diffusion datasets can undermine the predictive accuracy and robustness of machine learning (ML) models. A regression-based missing data imputation method using light gradient boosting machine (LGBM) algorithm was employed to impute over 60% of the missing data, establishing a radionuclide diffusion dataset containing 16 input features and 813 instances. The effective diffusion coefficient(D_e) was predicted using ten ML models. The predictive accuracy of ensemble meta-models, namely LGBM-extreme gradient boosting (XGB) and LGBM-categorical boosting (CatB), surpassed the other ML models, with R^2 values of 0.94. The models were applied in predicting the D_e values of EuEDTA⁻ and HCrO4⁻ in saturated compacted bentonites at compaction ranged from 1200 kg/m³ to 1800 kg/m³, which was measured using a through-diffusion method. The generalization ability of LGBM-XGB model surpassed that of LGB-CatB in predicting the D_e of HCrO4⁻. Shapley additive explanations identified the total porosity as the most significant influencing factor. In addition, the partial dependence plot analysis technique showed clearer results for univariate correlation analysis. This study provides a regression imputation technique to refine radionuclide diffusion datasets, offering a deeper insight into analyzing the diffusion mechanism of radionuclide and supporting the safety assessment of the geological disposal of high-level radioactive waste.

Keywords: machine learning; radionuclide diffusion; bentonite; regression imputation; missing data; diffusion experiments.

I. INTRODUCTION

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Bentonite is often selected as an engineering barrier in a 22 23 high-level radioactive waste (HLW) repository due to the low 24 hydraulic conductivity, leading to a diffusion-controlled pro-25 cess for the transport of radionuclides [1–4]. The effective diffusion coefficient (D_e) , a critical parameter in the safety as-27 sessment of repositories, describes the diffusion behavior of 28 radionuclide in porous media [5–7]. Under complex disposal $_{29}$ conditions, $D_{\rm e}$ is affected by the properties of radionuclides, 30 such as diffusing species, adsorption properties [8], the char-31 acteristics of bentonite, such as compaction, pore structure, 32 physical and chemical properties [3, 9, 10], and the porewater 33 chemistry, such as pH and ionic strength [11–14]. Over the past decades, much attention has been devoted to determining the $D_{\rm e}$ of radionuclides in compacted bentonite [1, 8, 15–17]. Predicting the $D_{\rm e}$ of radionuclides is both challenging and 37 crucial due to the nonlinear and complex interactions among ³⁸ radionuclides, porewater, and bentonite[2, 3]. Machine learn-39 ing (ML) models are valuable tools for this task because they 40 can manage complex and high-dimensional data. Various ML 41 models, such as light gradient boosting machine (LGBM), ex-42 treme gradient boosting (XGB), categorical boosting (CatB), 43 support vector machine (SVM), random forest (RF), and arti-44 ficial neural networks (ANN), have been applied in predicting 45 the $D_{\rm e}$ of radionuclides in compacted bentonite [18–21]. The 46 radionuclide diffusion datasets were compiled from experi-47 mental data published in literatures and from a radionuclide

defination database established by the Japan Atomic Energy Agency (JAEA-DDB). These datasets included the number of input features ranged from 3 to 16 and the data size ranged from 293 instances to 956 instances [19–21]. It is worth mentioning that the JAEA-DDB collected over 5000 instances from radionuclide diffusion experiments, spanned from 1982 to 2009 [22]. However, the instances increased with decreasing input features, primarily due to the missing data, resulting in potential impact on the accuracy and reliability of ML model explanations.

The issues caused by the presence of missing data are a pervasive concern in databases [23, 24]. Missing data can lead to suboptimal outcomes, reduce predictive performance, and even result in misleading conclusions [25, 26]. For instances, the dry density and rock capacity factor have been reported as the top-two influencing factors in predicting the $D_{\rm e}$ [20, 21]. In contrast, Wu et al. (2024) observed that the ion diffusion coefficient in water and dry density were observed as the toptwo contributors. This discrepancy can be attributed to the insufficient number of instances in the datasets used. Therefore, a comprehensive dataset is essential for providing a more reliable analysis of the diffusion mechanism.

This study presents a novel, comprehensive radionuclide diffusion dataset with micro-mesoscopic features using ML models as regression imputation techniques. Firstly, LGBM was employed as a regression-based missing data imputation method to impute over 60% missing data. Subsequently, ten ML models, including three ensemble ML algorithms (LGBM-CatB, LGBM-XGB, and LGBM-RF), four decision tree algorithms (LGBM, CatB, XGB, and RF), Support Vector Machine (SVM), and two neural networks (ANN and deep neural network (DNN)), were trained, optimized, and tested using five-fold cross validation to predict D_e values. Finally, through-diffusion experiments were conducted to measure the diffusion parameters of EuEDTA⁻ and HCrO₄⁻ in

^{*} This work was partially supported by the National Natural Science Foundation of China (No. 12475340 and 12375350), Special Branch project of South Taihu Lake, and the Scientific Research Fund of Zhejiang Provincial Education Department (No. Y202456326).

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84 cessible porosity, total porosity, and distribution coefficient, 195 blocks were mounted in diffusion setups, they were saturated 85 to evaluate the generalization of the trained ML models. The 196 for five weeks with NaCl solution in diffusion cells. The dif-₈₆ goal is to develop predictive models that exhibit high accu-₁₃₇ fusion experiments of lasted 90 days for EuEDTA⁻ and 25 $_{87}$ racy, strong robustness, and clear interpretability for radionu- $_{138}$ days for HCrO $_4$ -. 88 clide diffusion studies, which are crucial for the safety assess-89 ment of HLW repositories.

II. MATERIALS AND METHODS

A. Material

Ba-bentonite was prepared by modifying Gaomiaozi 93 (GMZ) bentonite with BaCl₂ solution. The mass percentage 94 of BaCl₂ in modified bentonite was 5%. The detailed proce-95 dures for this modification are described in a previous study 96 [16]. Wyoming bentonite powder had the grain dry density 97 of 2760 kg/m³, montmorillonite content of 0.85, external sur-98 face area of 38 m²/g, and cation exchange capacity of 78.7 99 meq/100g [27, 28]. Ba-bentonite powder had the grain dry density of 2710 kg/m³, montmorillonite content of 0.78, external surface area of 27.3 m²/g, and cation exchange capacity of 58.7 meq/100g [16].

All solid chemicals were purchased from Aladdin. The pH values of NaCl solution were adjusted to 5.0 \pm 0.1 and 7.0 \pm 0.1 for EuEDTA⁻ and HCrO₄⁻ diffusion experiments, re-106 spectively. A stock solution of EuEDTA⁻ was prepared by dissolving a measured amount of EuNO₃·6H₂O in 200 mL of a solution mixed with 0.6 mol/L NaCl and 0.01 mol/L EDTA. 109 Similarly, a stock solution of HCrO₄⁻ was prepared by dis- $_{\mbox{\scriptsize 110}}$ solving a measured amount of $K_2Cr_2O_7$ in 200 mL of 0.5 $_{\mbox{\scriptsize 111}}$ mol/L NaCl solution. The initial concentrations of $\mbox{HCrO}_4{}^$ and EuEDTA⁻ were 1.8×10^{-3} mol/L and 5.7×10^{-4} mol/L, respectively, with corresponding pH values of 5.3 \pm 0.1 and $_{114}$ 6.8 \pm 0.1. The uncertainty in pH was determined based on 115 the standard deviation derived from five source solutions for 116 HCrO₄ and EuEDTA. The excess EDTA ensured the com- 150 117 plete complexation of Eu(III).

Through-diffusion method

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A through-diffusion method was conducted to measure dif-119 120 fusion parameters of EuEDTA⁻ and HCrO₄⁻ in compacted bentonites. The experiments were operated under ambient conditions, with pH 5.3 \pm 0.1 and a temperature of 25 \pm 3°C $_{123}$ for EuEDTA $^-$ diffusion, and pH 6.8 \pm 0.1 and a temperature of $15 \pm 3^{\circ}\text{C}$ for HCrO_4^- diffusion. Bentonite powder 161 between 7.8 g and 11.4 g for the preparation of the bentonite 165 nal surface area $(A_{\rm ext})$, dry density $(\rho_{\rm d})$, grain density $(\rho_{\rm s})$, toblocks. During the weighing process and the preparation 166 tal porosity (ε_{tot}), and montmorillonite stacking number (n_c); 150 of bentonite blocks in the experimental procedure, approxi- 167 and (iii) radionuclide properties, encompassing the ion diffumately 0.3 g of bentonite powder was lost. This loss repre- 168 sion coefficient in water (D_w), molecular weight (MW), ion sents the primary source of uncertainty in the compacted dry 169 molar conductivity (λ), ionic radius (r), ionic charge (z), dis-

 83 compacted bentonite, including D_e , rock capacity factor, ac- 134 these diffusion experiments. After the compacted bentonite

Table 1. Overview of the experimental condition for EuEDTA⁻ and HCrO₄⁻ diffusion experiments.

| Experimental conditions | Detailed information | | | |
|---|----------------------|--------------------------------|--|--|
| Anion | EuEDTA- | HCrO ₄ ⁻ | | |
| Bentonite type | Ba-bent. | Wyoming | | |
| Initial concentration ($\times 10^{-3}$ mol/L) | 0.57 ± 0.02 | 1.80 ± 0.10 | | |
| Ionic strength (mol/L) | 0.6 | 0.5 | | |
| Dry density (kg/m ³) | 1300 - 1700 | 1200 - 1800 | | |
| pH (-) | 5.3 ± 0.1 | 6.8 ± 0.1 | | |
| Temperature (°C) | 25 ± 3 | 15 ± 3 | | |
| Block dimension (cm) | Ø 2.54×1.3 | Ø 2.54×1.2 | | |
| Volume of source reservoir (mL) | 200 | | | |
| Volume of target reservoir (mL) | 10 | | | |

Concentrations of Cr and Eu were measured using an inductively coupled plasma optical emission spectrometer (Op-141 tima 7000DV, PerkinElmer, USA). Data processing was per-142 formed using Fitting for diffusion parameters software to calculate diffusion parameters, such as the $D_{\rm e}$, rock capacity factor, distribution coefficient, total porosity, and accessible 145 porosity. Further details regarding the experimental setup, operation steps, and data processing are available in previous 147 studies[17, 29].

C. Data

Data compilation

Datasets were gathered from JAEA-DDB and 16 published resources, covering the period from 1982 to 2024. The dataset 152 comprised 16 input features and 324 experimental instances, including 304 instances obtained from Wu et al. (2024) and an additional 20 experimental instances from three other lit-155 eratures [17, 20, 27]. Notably, the absence of pH values in 514 instances of the JAEA-DDB resulted in a significantly 157 reduction in data size. To address this, regression imputation techniques using ML models were applied to predict pH values based on the dataset of 324 instances, thereby expanding the dataset to 838 instances.

The dataset included 16 input features, which were categowas compacted into cylindrical blocks with dry densities in 162 rized into three groups: (i) porewater properties, comprising the range of $1200-1800 \text{ kg/m}^3$. The powder, with an initial 163 the ionic strength (I), temperature (T), and pH; (ii) bentonite water content of approximately 5%, was calculated to weigh 164 properties, including the montmorillonite content (m), exterdensity. Table 1 summarizes the experimental conditions for 170 tribution coefficient (K_d) , and rock capacity factor (α) .

Table 2. Details of the features and instances of datasets.

| Dataset | Input feature | Input number | Output feature | Instance number |
|-------------|---|--------------|-------------------------------|-----------------|
| Dataset I | Basic features: | | | |
| | (i) Porewater: <i>I</i> , <i>T</i> . | 15 | pН | 316 |
| | (ii) Bentonite: m , $A_{\rm ext}$, $\rho_{\rm d}$, $\rho_{\rm s}$, $\varepsilon_{\rm tot}$, $n_{\rm c}$. | 13 | | |
| | (iii) Radionuclides: $D_{\rm w}$, r , z , λ , MW , $K_{\rm d}$, α . | | | |
| Dataset II | Basic features and pH | 16 | $ ar{D_{\mathrm{e}}}$ $ -$ | 316 |
| Dataset III | Basic features and pH | 16 | $ ar{D}_{ m e}$ $ -$ | 813 |

Data preprocessing

The presence of outliers can reduce predictive accuracy of 173 ML models. To address this issue, the Mahalanobis distance 213 174 (MD) method was employed to identify and remove outliers. 214 The cutoff point (d_i) is given as:

$$d_i = \sqrt{(x-\mu) \cdot S^{-1} \cdot (x-\mu)},\tag{1}$$

where x represents the object vector, μ denotes the mean arithmetic vector, and S is the covariance matrix of instances. The cutoff point was set to eight to ensure that the skewness 221 scikit-learn package [20, 31]. The voting regressor simultaneof all input features was less than 10.

182 radionuclide diffusion. An overview of the features and instances for each dataset is summarized in Table 2. Dataset 225 the system can adjust the weight distribution according to the I included 15 input features, with pH as the output feature. 226 performance of each model. The final prediction result \hat{y} is To ensure data quality and reduce noise, eight instances were 227 calculated by: 186 removed using MD method. This process yielded Dataset I, which comprised 316 instances. Statistical details for Dataset 228 188 I are presented in Table S1 of the supporting information. 189 Both Datasets II and III comprised 16 input features, includ-190 ing the basic features (15 input features of Dataset I) and 229 where y_i and ω_i represent the prediction result and the weight pH. The output feature for Datasets II and III was the De. 230 corresponding of the i-th model, respectively. This method Dataset III, comprising 813 instances, was obtained by re- 231 optimized the weight ranges of base learners within a model moval of 17 instances. It is noteworthy that these datasets 232 by initially pruning these ranges according to the gradient of 194 comprised parameters at the micro-mesoscopic level. Specif- 233 the best base learners performance, thereby accelerating the 195 ically, the montmorillonite stacking number and ionic radius 234 process of model optimization [30]. The hyperparameters of 196 were classified as microscopic parameters, while other pa- 235 ML models were tuned using Particle Swarm Optimization 197 rameters were considered as mesoscopic.

3. Imputation methods

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Four decision tree models, namely LGBM, CatB, XGB, 241 and RF, were used as regression imputation methods to pre- 242 dictive accuracy compared to the other models. This is con- 244 g_i , as follows: sistent with our previous work [21]. Dataset III was established by incorporating additional 514 instances with Dataset ²⁴⁵ 205 II using LGBM for data imputation. Table S2 of the support-206 ing information summarizes the statistical results of input and output features for Dataset III.

Methodology

210 dicted using ten ML models, including three ensemble ML algorithms (LGBM-CatB, LGBM-XGB, and LGBM-RF), four decision tree algorithms (LGBM, CatB, XGB, and RF), SVM, and two neural networks (ANN and DNN). Ensemble ML models combine the strengths of multiple individual 215 models to enhance overall predictive performance and stabil-216 ity, offering a promising solution to the challenges of bias 217 and variance in individual models [30]. Since LGBM exhib-218 ited superior predictive performance compared to other models, it was employed to combine with CatB, XGB, and RF 220 to predict the D_e using a voting regressor method from the 222 ously applies multiple regression models to the same dataset, Three datasets were utilized to enhance the prediction of 223 thereby optimizing the final output by synthesizing the pre-224 diction results of each model. During the training process,

$$\hat{y} = \sum_{i=1}^{n} y_i \omega_i, \tag{2}$$

236 (PSO) algorithm. In this algorithm, the potential solutions to 237 an optimization problem are represented as a swarm of par-238 ticles. Each particle i possesses a position vector X_i and a velocity vector V_i within the search space. During the algorithmic evolution, iterative adjustments are performed on both the velocity and position of each particle. Specifically, the velocity of each particle is updated according to the individual's dict the pH values of Dataset I. LGBM exhibited superior pre- 243 best-known position p_i and the swarm's global best position

$$x_i^{k+1}(t+1) = x_i^k(t) + v_i^{k+1}(t+1),$$
 (3)

$$v_i^{k+1}(t+1) = \omega v_i^k(t) + c_1 r_1 \left(p_i^k(t) - x_i^K(t) \right) + c_2 r_2 \left(g^k(t) - x_i^k(t) \right), \tag{4}$$

where ω is inertia weight, which influences the particle's ve-The D_e of radionuclide in compacted bentonite was pre- 249 locity based on its previous state. c_1 and c_2 represent the

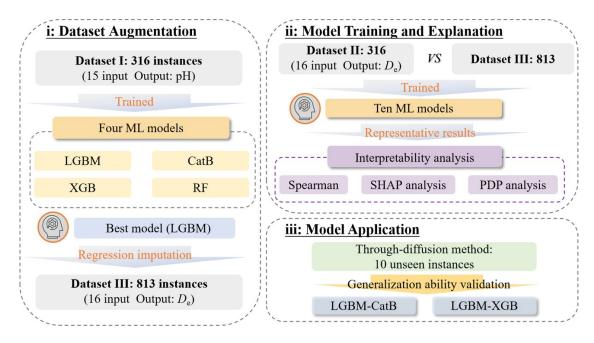


Fig. 1. Workflow diagram on building machine learning models for predicting the effective diffusion coefficient of radionuclides in various compacted bentonites.

250 learning factor for individual and social adjustment, respec- 280 tion dataset (20% of the remaining training data) to pretrain tributed within [0, 1].

Fig.1 illustrates a workflow diagram for developing ML 283 parameters. 253 models to predict the $D_{\rm e}$ values of radionuclides in various 284 parts: (i) Dataset augmentation: Missing pH values was pre- 286 These metrics are given as follows: dicted using decision tree algorithms, thereby refining the radionuclide diffusion dataset. (ii) Model training and explanation: Ten ML models were employed to train prediction models with high predictive accuracy. The diffusion mechanism was analyzed using Spearman, Shapley additive explanations 262 (SHAP), and partial dependence plots (PDP). (iii) Model application: The D_e of EuEDTA⁻ and HCrO₄⁻ in compacted bentonites was measured using a through-diffusion method, which was employed to evaluate the generalization capability of the best ML models.

E. Model development and evaluation

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Datasets were randomly divided into a training set consist-268 269 ing 80% of the instances and a test set containing the remain-270 ing 20%. Since the data processing using logarithmic transformation and min-max normalization exhibited insignificant impact on the predictive accuracy in predicting the $D_{\rm e}$ of radionuclides in bentonite [19], logarithmic transformation was applied to the features, such as the ionic radius, ion diffusion coefficient in water, and $D_{\rm e}$, due to their significantly larger ²⁹⁵ 276 magnitudes compared to other features. A five-fold cross val-277 idation method was used to decrease the risk of overfitting. 296 278 Therefore, the 80% training data was further subdivided into 297 icantly impact the quality and reliability of data analysis. 279 a pretraining dataset (80% of the training data) and a valida- 298 Various regression imputation techniques have been applied

tively. r_1 and r_2 denote random numbers uniformly dis- 281 ML models and optimize hyperparameters. The PSO tech-282 nique was conducted to facilitate the optimization of hyper-

The predictive performance was evaluated by the coefficompacted bentonites. This work was organized into three $\frac{285}{2}$ cient of determination (R^2), and mean square error (MSE).

$$R^{2} = 1 - \frac{\sum_{i=1}^{N} \left(\log D_{e,i}^{\exp} - \log D_{e,i}^{\operatorname{pred}} \right)^{2}}{\sum_{i=1}^{N} \left(\log D_{e,i}^{\exp} - \log D_{e,ave}^{\exp} \right)^{2}},$$
 (5)

$$MSE = \frac{1}{N} \sum_{i=1}^{N} \left(\log D_{e,i}^{\exp} - \log D_{e,i}^{\operatorname{pred}} \right)^{2}, \tag{6}$$

where $\log D_{\mathrm{e,i}}^{\mathrm{exp}}$ and $\log D_{\mathrm{e,ave}}^{\mathrm{exp}}$ are the experimental D_{e} 291 and average experimental $D_{\rm e}$ measured from diffusion experiments. $\log D_{\rm e,i}^{\rm pred}$ is the predicted $D_{\rm e}$ using the ML models.

III. RESULTS AND DISCUSSION

Model development

1. Regression imputation for predicting pH

Handling missing data is a crucial step that can signif-

Table 3. Mean performance metric values using five-fold cross validation and the highest performance metrics for machine learning models to predict pH based on Dataset I.

| Algorithms | Datasets | $R^2_{\rm cv}$ | MSE_{cv} | Best performance | | |
|------------|------------|----------------|------------|------------------|------------------|--|
| Aigoriums | | | MSEcv | R^2 | \overline{MSE} | |
| LGBM | Training | 0.99 | 0.01 | 0.99 | 0.01 | |
| | Validation | 0.87 | 0.32 | 0.90 | 0.07 | |
| | Test | 0.88 | 0.33 | 0.92 | 0.23 | |
| XGB | Training | 0.98 | 0.05 | 0.98 | 0.06 | |
| | Validation | 0.82 | 0.46 | 0.92 | 0.16 | |
| | Test | 0.84 | 0.47 | 0.87 | 0.38 | |
| CatB | Training | 0.99 | 0.01 | 0.99 | 0.01 | |
| | Validation | 0.87 | 0.28 | 0.86 | 0.22 | |
| | Test | 0.83 | 0.68 | 0.85 | 0.57 | |
| RF | Training | 0.90 | 0.27 | 0.90 | 0.26 | |
| | Validation | 0.77 | 0.61 | 0.79 | 0.67 | |
| | Test | 0.77 | 0.38 | 0.80 | 0.32 | |

299 for imputing missing data, such as ANNs, multivariate im- 359 300 putation by chained equations, k-nearest neighbors, timeseries deep learning model, generative broad Bayesian im-302 puter, principal component analysis imputation, and simple arithmetic averages. These methods have been applied to datasets with missing data percentages ranging from 0 to 305 80% [24, 26, 32–36]. Generally, three types of missing data mechanisms are recognized, namely missing completely at random, missing at random, and missing not at random [23]. Each mechanism presents different challenges and implications for the imputation, highlighting the importance of identifying the underlying pattern of missingness before selecting 310 an appropriate imputation strategy. 311

JAEA-DDB database collected the data from literatures and reports, covering the period from 1982 to 2009. The in-314 stances are derived from various diffusion experimental meth-315 ods and numerous researchers. The absence of pH values 316 in 514 instances within the JAEA-DDB database can be ex-317 plained that researches ignored the importance of pH values in their studies. In the JAEA-DDB database, missing data 319 primarily resulted from ignoring or inadequately measuring the parameters that related to the radionuclide diffusion. The missing mechanism in the JAEA-DDB database was assumed be the missing completely at random, corresponding to noncontinuous missingness. Based on the selected 16 input features, more than 60% of the dataset (514 instances) lack pH values. Decision tree models were employed to predict the missing pH values, aiming to augment the dataset and enhance the robustness of ML models. Specifically, LGBM, CatB, XGB, and RF were employed to predict pH values for 385 tablished by combining LightGBM with other individual de-Dataset I. 329

LGBM exhibited superior robustness compared to the other 388 was no significant difference in computational efficiency bemodels. For instances, the R^2_{cv} values for the test sets were 389 tween the ensemble model and the single model. The differranked in descending order using five-fold cross validation as 390 ence in running time was approximately five minutes. In the follows: LGBM > XGB > CatB > RF. The rank of MSE_{cv} val- 391 case of decision tree algorithms, gradient boosting (GB) modues were in the opposite with R^2_{cv} values for the test datasets. 392 els (LGBM, CatB, and XGB) outperformed the RF models. 336 Notably, LGBM achieved the highest performance metrics 393 The excellent predictive performance of GB models is con- $_{337}$ among all models, with a MSE of 0.23 and R^2 of 0.92 for $_{394}$ sistent with previous findings in predicting chloride diffusion

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338 the test dataset, respectively. The hyperparameters of the best 339 ML models are listed in Table S3 of the supporting informa-340 tion. Therefore, the missing pH values for 514 instances were predicted using the LGBM model, resulting in the establishment of Dataset III with 813 instances.

Fig.2 exhibited data distribution and characteristics of the relationship between pH and each input feature. Blue and orange represent the data distribution of Dataset I and imputed 514 instances, respectively. It clearly demonstrates that there is non-linear relationship between pH and each input feature. The predicted pH values ranged from 5.0 to 9.0, exhibiting a Gaussian type distribution.

pH is an important porewater parameter that influences both radionuclide species and the surface charge of clay [37]. Fig.3 shows the dependency of pH on the external surface area and ion molar conductivity, which are associated with bentonite and radionuclide properties, respectively. Dataset I exhibits that the pH value ranged from 3.0 to 13.4. The predicted pH values were concentrated in the range from 5.0 to 9.0, suggesting a close adherence to a normal distribution of 360 porewater for Dataset III.

Model development for radionuclide diffusion

Ten ML models, namely LGBM-CatB, LGBM-XGB, 363 LGBM-RF, LGBM, CatB, XGB, RF, ANN, DNN, and SVM, were conducted for predicting the $D_{\rm e}$ of radionuclide in com-365 pacted bentonite. Fig.4 shows the performance metrics of the 366 ML models for test datasets of Dataset II and III, using the 367 optimal hyperparameters tuned with PSO techniques (Table 368 S4 in the supporting information). The performance metrics were assessed using five-fold cross validation. The red lines 370 represent the kernel smooth curve of the distribution of per-371 formance metrics. The black lines within and outside the box 272 plots denote the mean values and standard deviation of the 373 performance metrics, respectively, with a lower standard deviation indicating strong robustness of ML models. Detailed performance metrics for training datasets, validation datasets, and test datasets can be found in Table S5 of the supporting 377 information.

As the number of instances increased from 316 (Dataset 379 II) to 813 (Dataset III), the performance metrics of all ML models improved significantly, as evidenced by higher R^2_{cv} 381 values, lower MSE_{cv} , and reduced standard deviation. These 382 findings indicate that expanding the dataset contributed to 383 enhanced predictive performance and robustness of the ML 384 models. It is noteworthy that the ensemble models were es-386 cision tree models, primarily due to the relatively high train-The predicted performance is summarized in Table 3. 387 ing speed of the LightGBM algorithm [38]. However, there

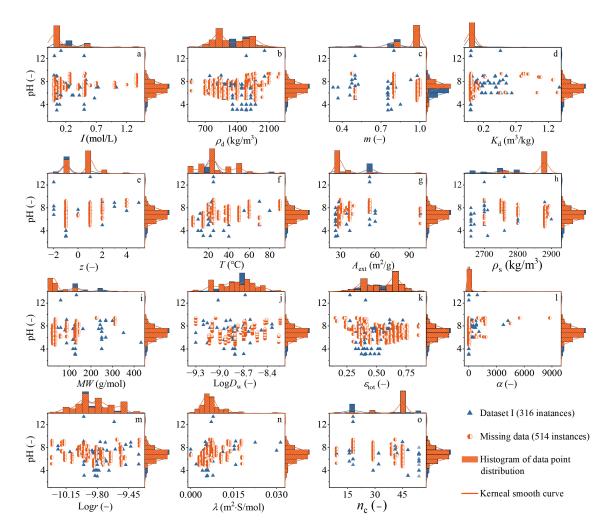


Fig. 2. Data distribution of features and the relationship between pH and each input feature.

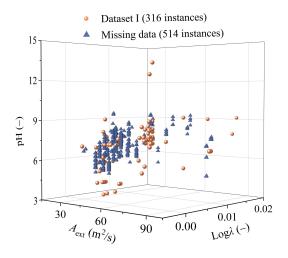


Fig. 3. Analyzing the dependency of pH on the external surface area and ion molar conductivity.

of various diverse algorithms to thoroughly capture poten-400 tially complex patterns and errors within the data, thereby en-401 hancing prediction accuracy and robustness [30]. For Dataset $_{402}$ III, the R^2_{cv} values of the ML models ranked in descending $_{403}$ order as follows: LGBM-CatB ≈ LGBM-XGB > LGBM ≈ $LGBM-RF > CatB \approx XGB > ANN > DNN > RF > SVM.$ No-405 tably, LGBM-CatB surpassed LGBM-XGB due to its lower 406 standard deviation, indicating stronger robustness. SVM ex-407 hibited the lowest predictive performance based on Dataset 408 III, with $R^2_{cv} = 0.75$ and $MSE_{cv} = 0.06$. Compared with the ensemble models, the SVM is a relatively simple model. These ensemble models are designed to capture more complex patterns and relationships in the data through the combination of multiple decision trees. This lack of complexity in SVM limits its ability to generalize well across different data instances in the dataset. Notably, some studies have reported the test R^2 values below 0.80, such as an R^2 of 0.74 for predicting the retention rate of Cd in biochar [40] and an R^2 417 of 0.76 for predicting alcohol space-time yield [41]. There-

surpassed the other ML models, achieving R^2_{cv} above 0.90. It

can be attributed to their capability of harnessing the strengths

coefficient in concrete [39]. In addition, ensemble ML models (LGBM-CatB, LGBM-XGB, and LGBM-RF) and LGBM

418 fore, the prediction accuracy of SVM remained satisfactory,

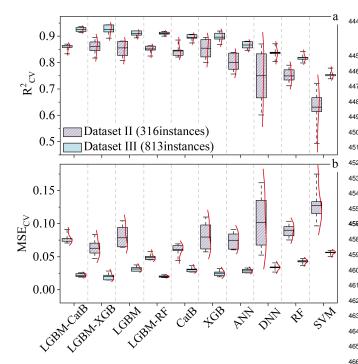


Fig. 4. Mean performance metric values using five-fold cross validation for machine learning models in the test datasets of Dataset II and III.

other models.

Fig.5 shows the regression plots comparing the experi- $_{422}$ mental and predicted $D_{\rm e}$ values for the training (green triangle), validation (red circle), and test (purple square) datasets of Datasets II and III, using LGBM-CatB, LGBM-XGB, 425 LGBM, and LGBM-RF algorithms. These algorithms were selected for their excellent predictive accuracy. The plots reveal a close alignment between the experimental and predicted $D_{\rm e}$ values with the slope line, underscoring the effective simulation capability of these ML models in predicting 430 radionuclide diffusion processes. The performance metrics for the best-performing models are also displayed in Fig.5. Notably, the ML models applied to the test dataset of Dataset 433 III outperformed those applied to Dataset II. This disparity can likely be attributed to the augmentation of instances in Dataset III, which facilitated the models' ability to more ef-436 fectively capture complex relationships within the data. For 437 Dataset III, the ranking of models was as follows: LGBM-438 CatB ($R^2 = 0.94$) \approx LGBM-XGB ($R^2 = 0.94$) > LGBM (R^2 = 0.92) \approx LGBM-RF (R^2 = 0.92). These results indicate that 440 both LGBM-CatB and LGBM-XGB exhibited high predictive 490 441 accuracy.

Sensitivity analysis

Spearman and Shapley additive explanation analyses

ML models can uncover predictive principles through analysis techniques that rank the importance of influencing factors on predictions, such as feature importance and SHAP analysis [19, 21, 42, 43]. Additionally, Spearman analysis, a non-parametric statistical method, assesses the monotonic relationship between two variables by correlating ranked data. These approaches provided valuable insights into the consistency and strength of relationships within the dataset. It worthy notes that the reliability of these analytical techniques is intrinsically linked to the quality of the data used. Increasing 455 the dataset size enhances the depth, broadness, and reliability of ML models.

Spearman correlation and SHAP analyses techniques were 459 employed to analyze the correlation and importance of input features, presented intuitively global interpretations of ML models (Fig.6). The features are ranked from left to right according to their correlation and the contribution to the prediction. The Spearman correlation analysis reveals that the most influencing factor among the 16 input features was the ion diffusion coefficient in water for Dataset II and total porosity for Dataset III. This feature exhibited a positive correlation with $D_{\rm e}$ (Figs.6a and b). This is consistent with the previous finding [19] and Archie's law[31, 44].

In the case of Dataset II, the SHAP analysis reveals that the most important input feature varied across different ML 471 models: the compacted dry density for LGBM-CatB, ionic despite exhibiting lower predictive performance compared to 472 radius for LGBM-XGB, and ion diffusion coefficient in wa-473 ter for LGBM (Figs.6c, e, and g). Notably, the SHAP results for LGBM were the only ones consistent with the Spearman correlation analysis. This discrepancy can be attributed to the differences in feature importance assessment and prediction 477 mechanisms inherent to each ML algorithm. As the number 478 of instances increased from 316 (Dataset II) to 813 (Dataset 479 III), both Spearman and SHAP analyses identified the total 480 porosity as the primary contributor, which is consistent with Archie's law[31, 44]. The total porosity for radionuclide diffusion in compacted bentonite blocks is expressed as a per-483 centage of the total interconnected pore spaces within the 484 blocks. A higher total porosity implies a greater availabil-485 ity of transport pathways. These findings suggest that larger 486 datasets may reduce the discrepancies between ML models in 487 terms of feature importance assessment and prediction mech-488 anisms.

Partial dependence plots

The dependency of $D_{\rm e}$ on the 16 input features has been discussed in our previous work [19]. However, some relation-492 ships may remain unclear due to the limited size of dataset. To address this, a PDP analysis was performed to visually represent the univariate correlations and to examine how the 495 size of the dataset influences these relationships (Fig. 7). The 496 histograms and lines correspond to the data distribution and 497 the correlation with each input feature and the PDP. A more 498 concentrated data distribution generally leads to more accu-

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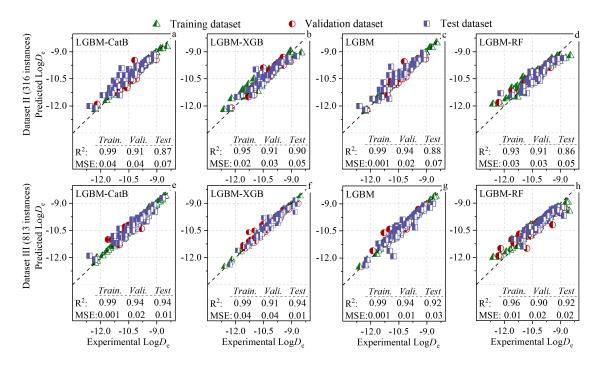


Fig. 5. Regression plots of experimental versus predicted effective diffusion coefficients based on Datasets II and III: (a, e) LGBM-CatB, (b, f) LGBM-XGB, (c, g) LGBM, and (d, h) LGBM-RF.

rate analysis results. These findings indicate that Dataset III, 500 density, montmorillonite content, ionic radius, and molecular curves, suggesting a more stable and clear relationship be- 532 influence of the total porosity and ion diffusion coefficient in tween the features and $D_{\rm e}$.

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₅₀₄ tribution coefficient exhibited clear positive correlation with ₅₃₅ [48–50]. The detailed explanations can be found in our prethe prediction for Dataset III. This finding aligns with studies 536 vious studies [19, 21]. It is worth mentioning that a negative 506 on radionuclides diffusion in crystalline rock [45] and sodium 537 influence of ionic radius was observed at Logr < -9.6 (2.5 montmorillonite [46]. Consistently, Fig.7d illustrates a pos- 538 Å). The positive relationship can be attributed to the limited itive impact of ionic charge, where cations exhibit higher D_e 539 data for species with ionic radius above 2.5 Å. Overall, the than neutral species, and anions display lower $D_{\rm e}$ values. This 540 univariate correlation results visualized using the PDP techis consistent with previous studies, which attributed the dif- 541 nique align with the diffusion laws observed in experiments 512 between radionuclide species and charged bentonite surfaces 543 els. This consistency underscores the reliability of the inter-513 [3]. Specifically, cation diffusion is controlled by surface dif- 544 pretation capabilities of the ML models. fusion effects, whereas anions diffusion is driven by anionic exclusion effects [46, 47].

pH values in the range from 6 to 9 exhibited a negative influence on the prediction for Dataset III, while a peak was observed at approximately pH 8 for Dataset II (Fig.7c). The negative impact of Dataset III might be more convincing due to the larger data size. Fig.7e shows a positive impact on the prediction when ion molar conductivity exceeded 0.01 m²·S/mol for Dataset III. However, the relationships of external surface area, montmorillonite stacking number, grain 547 tant in the safety evaluation of HLW repository because of density, and ionic strength remained unclear for both Dataset 548 their high diffusivity. A through-diffusion method was em-525 II and III (Figs.7f-i). This lack of clarity can be attributed to 549 ployed to measure the diffusion parameters of EuEDTA- and 526 the dispersion of data, despite larger dataset size.

porosity, ion diffusion coefficient in water, and temperature, 552 were predicted using LGBM-CatB and LGBM-XGB to test exhibited positive impacts on the prediction, whereas the dry 553 the generalization ability.

which is larger than Dataset II, exhibits more continuous PDP 551 weight showed negative impacts (Figs.7j-p). The positive water could be explained by Archie's law [16, 44], while the Figs. 7a and b shows that both rock capacity factor and dis- 534 positive impact of temperature followed Arrhenius equations ferences in diffusion mechanisms to electrostatic interactions 542 and the diffusion mechanisms derived from numerical mod-

Diffusion experiments and model application

Anionic radionuclides with long half-life are very impor-550 HCrO₄ in compacted bentonites at compacted dry density In the case of remaining input features, such as the total 551 ranged from 1200 kg/m³ to 1800 kg/m³. Their D_e values

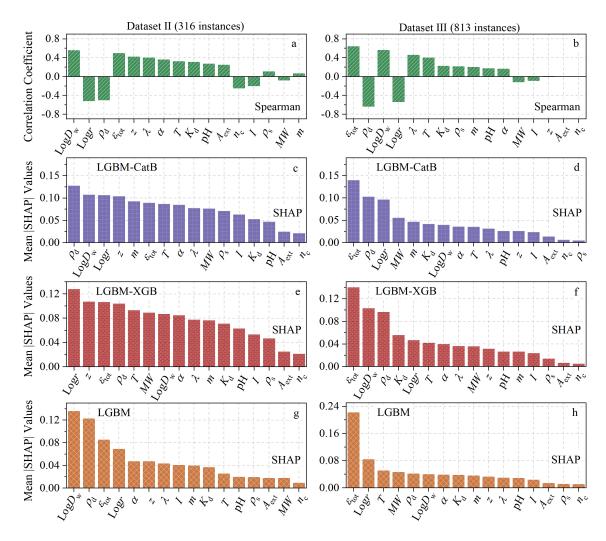


Fig. 6. (a, b) Spearman correlation analysis and global interpretations of ML models based on Dataset II and III: (c, d) LGBM-CatB, (e, f) LGBM-XGB, and (g, h) LGBM.

1. Determination of the diffusion parameters using diffusion experiments

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Fig. 8 shows the breakthrough curves of EuEDTA $^-$ and the species distribution of Eu-EDTA complexes. $A_{\rm cum}$ denotes the accumulated mass of EuEDTA $^-$ and HCrO4 $^-$ that penetrated a 1.2 cm thick bentonite block to reach sample reservoirs. The data show that the accumulated mass increased with decreasing dry density, consistent with the general understanding that lower dry density facilitates radionuclide diffusion through porous media [3, 5]. The pH was maintained at 5.3 ± 0.1 during the Eu(III) diffusion experiments. Simulation using Vision MINTEQ indicated that Eu(III) exists as a mixture of species in 0.6 mol/L NaCl solution, including Eu³⁺, EuHEDTA(aq), EuEDTA $^-$, and EuCl²⁺ (Fig.8c). EuEDTA $^-$ was the main species at pH above 2.0. It indicates that this study measured the diffusion parameters of EuEDTA $^-$ in compacted Ba-bentonite.

Table 4 summarizes the diffusion parameters of $HCrO_4^-$ and $EuEDTA^-$, including D_e , rock capacity factor, accessible

porosity, total porosity, and distribution coefficient. Both D_e 575 and distribution coefficient are two important parameters in 576 the safety assessment of repositories, while the other parameters play a crucial role in elucidating the diffusion mechanism. The error in the compacted dry density measurement was primarily attributed to a loss of approximately 0.3 g dur-580 ing the preparation of bentonite blocks. Both HCrO₄⁻ and 581 EuEDTA⁻ are monovalent anions that are unable to access 582 the interlayer pores of compacted bentonite[17, 21]. The 583 rock capacity factor of HCrO₄⁻ was found to be lower than 584 the total porosity, indicating that the accessible porosity was 585 equaled to the rock capacity factor. This suggests that the 586 predominant diffusion path of HCrO₄⁻ was the free pores 587 of compacted bentonite. In contrast, EuEDTA⁻ exhibited ⁵⁸⁸ adsorptive behavior similar to that of simulated trivalent actinide complexes, such as AmEDTA⁻ and CmEDTA⁻, with the rock capacity factor being higher than the total porosity. The distribution coefficient, K_d , of EuEDTA⁻ was calculated 592 as follows:

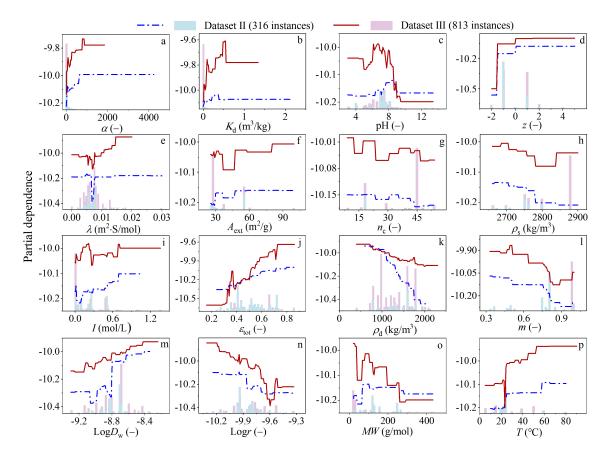


Fig. 7. Partial dependence plot for (a) the rock capacity factor, (b) distribution coefficient, (c) pH, (d) ionic charge, (e) ion molar conductivity, (f) external surface area, (g) montmorillonite stacking number, (h) grain density, (i) ionic strength, (j) total porosity, (k) dry density, (l) montmorillonite content, (m) ion diffusion coefficient in water, (n) ionic radius, (o) molecular weight, and (p) temperature.

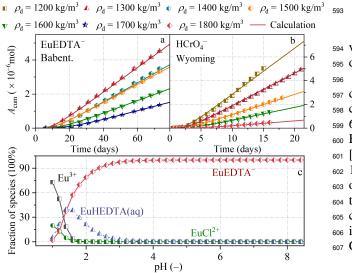


Fig. 8. The relationship between the accumulated mass (A_{cum}) and time for (a) EuEDTA⁻ and (b) HCrO₄⁻ in saturated compacted bentonites. (c) Species distribution of Eu(III)-EDTA system in aqueous solution.

$$K_d = \frac{\alpha - \varepsilon_{acc}}{\rho_d},\tag{7}$$

where the accessible porosity, ε_{acc} , was obtained using the I⁻ diffusion experiments [19].

All the diffusion parameters decreased with increasing dry density for both EuEDTA $^-$ and HCrO4 $^-$. The distribution coefficient of EuEDTA $^-$ ranged from 4.2 \times 10^{-4} m³/kg to 6.7×10^{-4} m³/kg, which is lower than the range reported for EuEDTA $^-$ in hard rock clay (1.3 \times 10^{-3} –3.2 \times 10^{-3} m³/kg) 601 [51] and for CeEDTA $^-$ in compacted Zhisin bentonite (0.8 \times 602 10^{-3} –1.2 \times 10^{-3} m³/kg)[17] . The distribution coefficient of EuEDTA $^-$ was less than Eu³+, indicating that EDTA faciliated the diffusion of Eu(III), thereby reducing the retardation capacity of the bentonite barrier[51, 52]. This observation is consistent with the diffusion behaviors of CeEDTA $^-$ and 607 CoEDTA $^-$ [17, 19, 31].

2. Model application

The LGBM-CatB and LGBM-XGB models were employed to predict the $D_{\rm e}$ of HCrO₄⁻ in compacted Wyoming bentonite and EuEDTA⁻ in compacted Ba-bentonite, which were compared with published diffusion experimental re-

| $ ho_{ m d}$ | $m_{\rm bent}$ | $D_{ m e}$ | D_{a} | α | $oldsymbol{arepsilon}_{ m acc}$ | $arepsilon_{	ext{tot}}$ | K_{d} |
|---|-------------------------------------|--|--|---------------|---------------------------------|-------------------------|---|
| (kg/m^3) | (g) | $(\times 10^{-11} \text{ m}^2/\text{s})$ | $(\times 10^{-11} \text{ m}^2/\text{s})$ | (-) | (-) | (-) | $(\times 10^{-4} \text{m}^3/\text{kg})$ |
| | EuEDTA ⁻ in Ba-bentonite | | | | | | |
| 1300 ± 45 | 8.7 ± 0.3 | 3.6 ± 0.4 | 3.0 ± 0.3 | 1.2 ± 0.1 | $0.33 \pm 0.01^{\#}$ | 0.52 | 6.7 ± 0.6 |
| 1400 ± 45 | 9.3 ± 0.3 | 2.8 ± 0.3 | 2.6 ± 0.2 | 1.1 ± 0.1 | $0.31 \pm 0.01^{\#}$ | 0.48 | 5.6 ± 0.6 |
| 1500 ± 46 | 9.8 ± 0.3 | 2.6 ± 0.3 | 2.7 ± 0.2 | 1.0 ± 0.1 | $0.30 \pm 0.01^{\#}$ | 0.45 | 4.7 ± 0.5 |
| 1600 ± 46 | 10.5 ± 0.3 | 1.8 ± 0.2 | 1.9 ± 0.1 | 1.0 ± 0.1 | $0.26 \pm 0.01^{\#}$ | 0.41 | 4.3 ± 0.5 |
| 1700 ± 47 | 11.2 ± 0.3 | 1.3 ± 0.1 | 1.5 ± 0.1 | 0.9 ± 0.1 | $0.19 \pm 0.01^{\#}$ | 0.37 | 4.2 ± 0.3 |
| HCrO ₄ ⁻ in Wyoming bentonite | | | | | | | |
| 1200 ± 46 | 7.8 ± 0.3 | 6.2 ± 0.6 | 11.9 ± 0.5 | 0.52 ± 0.04 | 0.52 ± 0.04 | 0.57 | _ |
| 1300 ± 52 | 7.7 ± 0.3 | 3.9 ± 0.3 | 8.1 ± 0.3 | 0.48 ± 0.04 | 0.48 ± 0.04 | 0.53 | _ |
| 1500 ± 45 | 10.0 ± 0.3 | 2.7 ± 0.2 | 10.2 ± 0.2 | 0.26 ± 0.02 | 0.26 ± 0.02 | 0.46 | _ |
| 1600 ± 47 | 10.2 ± 0.3 | 1.8 ± 0.1 | 7.7 ± 0.2 | 0.23 ± 0.02 | 0.23 ± 0.02 | 0.42 | _ |
| 1800 ± 47 | 11.4 ± 0.3 | 0.7 ± 0.1 | 5.7 ± 0.1 | 0.12 ± 0.01 | 0.12 ± 0.01 | 0.35 | _ |
| | | | | | | | |

Table 4. Overview of diffusion parameters of EuEDTA⁻ and HCrO₄⁻ in compacted bentonite.

613 sults for HCrO₄⁻ and simulated actinides CeEDTA⁻ and 628 higher montmorillonite content. LGBM-CatB slightly under-614 CoEDTA²⁻[17, 19, 21]. Additionally, both models were con- 629 estimated D_e for HCrO₄⁻ in Wyoming bentonite, with pre-615 ducted in predicting the D_e of radionuclide cation $^{137}Cs^+$ and 630 dicted D_e values being 25%-47% lower than the experimen-616 neutral species HTO [8, 53, 54] (Fig.9). It shows that D_e/D_w 631 tal D_e . Although this discrepancy is less pronounced com-617 decreased with increasing compacted dry density, which is 632 pared to the predictions for HCrO₄⁻ in GMZ and Anji ben-618 consistent with previous studies [3, 5, 44]. In this study, the 633 tonites using LGBM and PSO-LGBM, where the difference $_{619}$ $D_{\rm w}$ value for metal-EDTA complexes was assumed to be 5.0 $_{634}$ was reported to be 9%-27%[19, 21]. This performance is $_{620} \times 10^{-10} \text{ m}^2/\text{s}[55]$. The D_e of EuEDTA⁻ was observed to be $_{635}$ significantly superior to the prediction of Archie's law, which higher than that of CeEDTA⁻ [17]. and CoEDTA²⁻[19]. The 636 reported that the predictive D_e values were 1.0 to 1.5 orders 622 LGBM-CatB and LGBM-XGB models demonstrated suc- 637 of magnitude higher than experimental results [44]. $_{623}$ cessful prediction of $D_{\rm e}$, as evidence by the good agreement with the experimental $D_{\rm e}$ values (Fig. 9a).

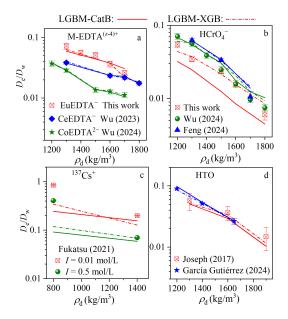


Fig. 9. Generalization ability validation of LGBM-CatB and (c) ¹³⁷Cs⁺ diffusion, and (d) HTO diffusion.

626 Wyoming bentonite was found to be lower than that in Anji 666 10,000 years, the prediction of radionuclide diffusion in ben-627 bentonite [19] and GMZ bentonite [21], likely due to the 667 tonite barriers must consider the complex coupling effect

Fig.9c shows that the predicted $D_{\rm e}$ values of $^{137}{\rm Cs}^+$ are 639 consistent with the experimental results at a compacted den-640 sity of 1400 kg/m³. However, a significant underestima-641 tion was observed at the compacted density of 800 kg/m³, 642 with the difference being approximately four times. It can 643 be explained by the limited number of experimental data 644 points available for this density in the dataset, which comprised only 58 instances, accounting for approximately 7% of the total dataset. It indicates that more diffusion experiments for ¹³⁷Cs⁺ should be conducted at the compacted density around 800 kg/m³ to facilitate the identification of dif-649 fusion patterns by ML models. Fig.9d illustrates that both 650 LGBM-CatB and LGBM-XGB models exhibit accurate prediction for the D_e of HTO. Under similar experimental conditions, the D_e in Wyoming bentonite (red squares) was higher than that in FEBEX bentonite (blue pentagrams), primarily attributed to the lower montmorillonite content, with m = 0.85for Wyoming bentonite and m = 0.92 for FEBEX bentonite [53, 54].

Notably, the experimental diffusion data from this study, as well as from ¹³⁷Cs⁺ [8] and HTO [53, 54] diffusions, were 659 not included in the test datasets, which highlights the strong 660 generalization ability of both LGBM-CatB and LGBM-XGB 661 models. Furthermore, the generalization ability of LGBM-LGBM-XGB: (a) M-EDTA^{(z-4)+} diffusion, (b) HCrO₄⁻ diffusion, ₆₆₂ XGB was superior to that of LGBM-CatB, indicating that 663 model selection plays a crucial role in accurately predicting 664 radionuclide diffusion in complex geological environments. Fig.9b shows that the D_e of HCrO₄⁻ in compacted 665 Given that HLW repositories are designed to operate for over

[#] Data from [19]

669 disposal conditions. Current diffusion datasets remain insuf- 693 the experimental $D_{\rm e}$. This indicates that the generalization 670 ficient for the safety assessment of bentonite barriers due to 694 ability of LGBM-XGB surpassed that of LGBM-CatB. 671 limitations in data size and dimensionality. Therefore, more 695 672 diffusion experiments should be conducted to enhance the di- 696 datasets plays a crucial role for the predictive performance of 673 mensionality and scale of datasets.

IV. CONCLUSION

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A radionuclide diffusion dataset, comprising 16 input fea-676 tures and 813 instances, was developed using regression im- 704 size analysis.. 677 putation machine learning (ML) methods. Ten ML algorithms was employed to predict the effective diffusion coefficient ($D_{\rm e}$) of radionuclides in compacted bentonite. The ⁷⁰⁵ 680 light gradient boosting machine (LGBM)-extreme gradient 681 boosting (XGB) and LGBM-categorical boosting (CatB) al-706 682 gorithms surpassed the other ML models, achieving R^2 values 707 sign. Material preparation, data collection and analysis 683 of 0.94 based on the imputed dataset. This improvement in- 708 were performed by Jun-Lei Tian, Jia-Xing Feng and Yao-684 dicates that the imputed dataset enabled the ML models to 709 Lin Zhao. The first draft of the manuscript was written by 685 achieve high predictive performance and strong robustness.

686 687 els was evaluated by applying them to predict the $D_{\rm e}$ of 712 manuscript. 688 EuEDTA in compacted Ba-bentonite and HCrO₄ in com-689 pacted Wyoming bentonite. Both models exhibited excel-690 lent predictive accuracy of EuEDTA-, while LGBM-CatB 713 slightly underestimated $D_{\rm e}$ for ${\rm HCrO_4}^-$ in Wyoming ben-

among radionuclides, porewater, and bentonite under intrinsic $_{692}$ tonite, with predicted D_{e} values being 25%-47% lower than

It has been widely accepted that the quality and quantity of 697 ML models. However, a significant number of diffusion ex-698 perimental results have been excluded from diffusion datasets due to incomplete or missing data. To address this limi-700 tation, additional experiments are necessary to comprehen-701 sively characterize the properties of porewater and bentonite. These experiments should include, but are not limited to, min-703 eral composition analysis, elemental analysis, and particle

AUTHOR CONTRIBUTIONS

All authors contributed to the study conception and de-710 Tao Wu and all authors commented on previous versions The generalization of LGBM-CatB and LGBM-XGB mod- 711 of the manuscript. All authors read and approved the final

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